

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 213114-5-22

First Inventor or Application Identifier Simon R. V.

Title DETECTION OF ISLANDED BEHAVIOR AND ANTI-ISLANDING PROTECTION OF A GENERATOR IN GRID-CONNECTED MODE

Assignee Name: CAPSTONE TURBINE CORPORATION

Assignee Address: 21211 Nordhoff Street, Chatsworth, CA 91311

10/12/01  
10971 U.S. PTO  
109/95148

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)	Total Sheets <b>44</b>
2. <input checked="" type="checkbox"/> Specification	Total Sheets <b>14</b>
3. <input checked="" type="checkbox"/> Formal Drawing(s) (35 U.S.C. 113)	Total Sheets <b>14</b>
4. <input checked="" type="checkbox"/> Oath or Declaration	Total Pages <b>2</b>
a. <input checked="" type="checkbox"/> Newly executed (original or copy)	
b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d)) (for continuation / divisional w/ box 17 completed)	
i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b).	
5. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	
6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)	
a. <input type="checkbox"/> Computer Readable Form (CRF)	
b. Specification or Sequence Listing on:	
i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or	
ii. <input type="checkbox"/> Paper	
c. <input type="checkbox"/> Statements verifying identity of above copies	

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

**ACCOMPANYING APPLICATION PARTS**

7.  Assignment Papers (cover sheet & document(s))
8.  Application Data Sheet. See 37 CFR 1.76
9.  37 C.F.R. §3.73(b) Statement  Power of Attorney  
(when there is an assignee)
10.  English Translation Document (if applicable)
11.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations (2)
12.  Preliminary Amendment
13.  White Advance Serial No. Postcard
14.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15.  Applicant claims small entity status.  
See 37 CFR 1.27
16.  Other:

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

Continuation     Divisional     Continuation-in-part (CIP)    of prior application no.:

Prior application information: Examiner:

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

This application is a  Continuation  Division  Continuation-in-part (CIP)  
of application Serial No. Filed on

Which was published in English

Which was not published in English

This application claims priority of provisional application Serial No. 60/240,153 Filed October 12, 2000

**19. CORRESPONDENCE ADDRESS**



**22850**

(703) 413-3000

FACSIMILE: (703) 413-2220

Name:	Richard A. Neifeld	Registration No.:	35,299
Signature:		Date:	10/12/01
Name:	David A. Bilodeau	Registration No.:	42,325

Docket No. 213114US-22

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Simon R. WALL

SERIAL NO: NEW APPLICATION

FILING DATE: HEREWITH

FOR: DETECTION OF ISLANDED BEHAVIOR AND ANTI-ISLANDING PROTECTION OF A GENERATOR  
IN GRID-CONNECTED MODE

JCS71 U.S. PRO  
09/975148  
10/12/01

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	84 - 20 =	64	× \$18 =	\$1,152.00
INDEPENDENT CLAIMS	12 - 3 =	9	× \$84 =	\$756.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$280 =	\$0.00
<input type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$0.00
			BASIC FEE	\$740.00
			TOTAL OF ABOVE CALCULATIONS	\$2,648.00
<input checked="" type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$-1,324.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
			TOTAL	\$1,324.00

Please charge Deposit Account No. 15-0030 in the amount of A duplicate copy of this sheet is enclosed.

A check in the amount of \$1,324.00 to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



Richard A. Neifeld  
Registration No. 35,299

David A. Bilodeau  
Registration No. 42,325

Date: 10/12/101



**22850**

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 10/00)

PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

10/16/2001 WABDELRI 00090035 00075416

01 FC:201	370.00 CP
02 FC:202	370.00 CP
03 FC:203	370.00 CP

PTO-1556  
(5/87)